

Sheet <u>1</u> of <u>7</u>

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 05110-006002

Application No. 09/939,526

Information Disclosure Statement by Applicant

David J. Boothby

Applicant

(Use several sheets if necessary)

Group Art Unit

(37 CFR §1.98(b))

August 24, 2001

Filing Date 2125 II & Patent Documents

Examiner	Desig.	Document	Publication	ent Documents	0.	0.1.	Filing Date
Initial	ID_	Number	Date	Patentee	Class	Subclass	If Appropriate
/MNVB/	AA	6,330,568	12/11/01	Boothby et al.			
	AB	6,272,074	08/07/01	Winner			
000	AC	6,141,664	10/31/00	Boothby			
000000	AD	6,098,078	08/01/00	Gehani et al.			
000000	AE	5,995,980	11/30/99	Olson et al.			
000000	AF	5,974,238	10/26/99	Chase, Jr.			
0000000	AG	5,966,714	10/12/99	Huang et al.			
000000	AH	5,956,508	09/21/99	Johnson et al.			
0000000	AI	5,928,329	07/27/99	Clark et al.			
000000	AJ	5,926,824	07/20/99	Hashimoto			
000000	AK	5,924,094	07/13/99	Sutter		-	
0000000	AL	5,892,909	04/06/99	Grasso et al.			
0000000	AM	5,884,325	03/16/99	Bauer et al.			
000000	AN	5,884,324	03/16/99	Cheng et al.			
00000	AO	5,884,323	03/16/99	Hawkins et al.			
2000000	AP	5,877,760	03/02/99	Onda et al.			
000000	AQ	5,875,242	02/23/99	Glaser et al.			
00000000	AR	5,870,765	02/09/99	Bauer et al.			
2000000	AS	5,870,759	02/09/99	Bauer et al.			
000000	AT	5,838,923	11/17/98	Lee et al.			
200000	AU	5,832,218	11/03/98	Gibbs et al.			
000000	AV	5,832,489	11/03/98	Kucala			
XX	AW	5,819,272	10/06/98	Benson			
00000000	AX	5,819,274	10/06/98	Jackson, Jr.			
000000	AY	5,813,009	09/22/98	Johnson et al.			
V	AZ	5,809,494	09/15/98	Nguyen	***		
/MNVB/	AAA	5,790,789	08/04/98	Suarez			

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Sheet <u>2</u> of <u>7</u>

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/MNVB/	ABB	5,781,908	07/14/98	Williams et al.			
	ACC	5,758,083	05/26/98	Singh et al.			
	ADD	5,745,712	04/28/98	Turpin et al.			
	AEE	5,737,539	04/07/98	Edelson et al.			
	AFF	5,708,840	01/13/98	Kikinis et al.			
	AGG	5,708,812	01/13/98	Van Dyke et al.			
	AHH	5,706,509	01/06/98	Man Hak Tso			
	AII	5,706,452	01/06/98	Ivanov			
	AJJ	5,704,029	12/30/97	Wright Jr.			
	AKK	5,689,706	11/18/97	Rao et al.			
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	AMM	5,659,741	08/19/97	Eberhardt			
	ANN	5,649,182	07/15/97	Reitz			
	AOO	5,630,081	05/13/97	Rybicki et al.			
	APP	5,623,540	04/22/97	Morrison et al.		11,000	
	AQQ	5,619,689	04/08/97	Kelly			
	ARR	5,615,109	03/25/97	Eder		,	
	ASS	5,615,364	03/25/97	Marks			
	ATT	5,613,113	03/18/97	Goldring			
	AUU	5,608,865	03/04/97	Midgely et al.			
	AVV	5,596,574	01/21/97	Perlman et al.			
	AWW	5,581,754	12/03/96	Terry et al.			
	AXX	5,581,753	12/03/96	Terry et al.		_	
	AYY	5,557,518	09/17/96	Rosen			
	AZZ	5,560,005	09/24/96	Hoover et al.			
V	AAAA	5,530,939	06/25/96	Mansfield, Jr. et al.			
/MNVB/	ABBB	5,530,853	06/25/96	Schell et al.			

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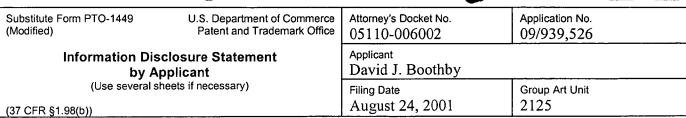
Attorney's Docket No. Application No. Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office 05110-006002 09/939,526 Applicant **Information Disclosure Statement** David J. Boothby by Applicant (Use several sheets if necessary) Filing Date Group Art Unit August 24, 2001 2125 (37 CFR §1.98(b))

	U.S. Patent Documents						
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/MNVB/	ACCC	5,455,945	10/03/95	VanderDrift			
0000000	ADDD	5,421,012	05/30/95	Khoyi et al.			
0000000	AEEE	5,412,801	05/02/95	De Remer et al.			
0000000	AFFF	5,323,314	06/21/94	Baber et al.			
0000000	AGGG	5,278,982	01/11/94.	Daniels et al		-	
00000	АННН	5,276,876	01/04/94	Coleman et al.			
0000000	AIII	5,220,540	06/15/93	Nishida et al.			
00000	AJJJ	5,201,010	04/06/93	Deaton et al.			
0000000	AKKK	5,197,000	03/23/93	Vincent			
0000000	ALLL	5,155,850	10/13/92	Janis et al.			
00000	AMMM	5,134,564	07/28/92	Dunn et al.			
000000	ANNN	5,124,912	06/23/92	Hotaling et al.			
	A000	4,939,689	07/03/90	Davis et al.			
20000000	APPP	4,831,552	05/16/89	Scully et al.			
20000000	AQQQ	4,819,191	04/04/89	Scully et al.			*
00000000	ARRR	4,817,018	03/28/89	Cree et al.			
00000000	ASSS	4,807,155	02/21/89	Cree et al.			
V	ATTT	4,807,154	02/21/89	Scully et al.			
/MNVB/	AUUU	4,162,610	07/31/79	Levine			

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
/MNVB/	AVVV	Adly, "HARP: A Hierarchical Asynchronous Replication Protocol for Massively Replicated Systems," Computer Laboratory, Cambridge University, United Kingdom (undated)			
/MNVB/	AWWW	Adly et al., "A Hierarchical Asynchronous Replication Protocol for Large Scale Systems," Computer Laboratory, Cambridge University, United Kingdom, Computer Science Department, Alexandria University, Egypt (undated)			
/MNVB/	AXXX	Alexander, "Designed, sold, delivered, serviced," Computerworld Client/Server Journal, pp. 43 (10/01/95)			
/MNVB/	AYYY	"All I need is a miracle; computer-aided educational packages; Small Wonders," Coastal Associates Publishing L.P. (03/1992)			

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		ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
/MNVB/ AZZZ		Alonso et al., "Database System Issues in Nomadic Computing," Matsushita Information Technology Laboratory, New Jersey (undated)
	AAAAA	Radringth et al. "Impact of Mobility on Distributed Computations" Operating Systems Review
	ABBBB	Barbara et al., "Sleeper and Workaholics: Caching Strategies in Mobile Environments (Extended Version)" (08/29/1994)
	ACCCC	Bowen, M. et al., "Achieving Throughput and Functionality in a Common Architecture: The Datacycle Experiment, <i>IEEE</i> , pp. 178, 1991.
	ADDDD	
	AEEEE	Demers et al., "The Bayou Architecture: Support for Data Sharing Among Mobile Users," Computer Science Laboratory, Xerox Palo Alto Research Center, California (undated)
	AFFFF	DeVoe et al., "SOFTWARE: Day-Timer Organizer 2.0 based on format of paper-based PIM," InfoWorld, Vol. 17 (08/21/95)
	AGGGG	Froese, "File System Support for Weakly Connected Operation," pp. 229-238 (undated)
	АНННН	Greenberg et al., "Real Time Groupware as a Distributed System: Concurrency Control and its Effect on the Interface," Procs. Of the ACM CSCW Conf. On Computer Supported Cooperative Work, Oct. 22-26, North Carolina, ACM Press (01/01/94)
	AIIII	Guy, "Ficus: A Very Large Scale Reliable Distributed File System," Technical Report CSD-910018, Computer Science Dept. UCLA (Technical Report) (06/03/91)
	AJJJJ	Guy et al., "Implementation of the Ficus Replicated File System," appeared in Procs. Of the Summe USENIX Conf., Anaheim, CA, pp. 63-71 (06/01/90)
***************************************	AKKKK	Haber, "Renegade PIMS," Computerworld, p. 109 (12/12/94)
200000000000000000000000000000000000000	ALLLL	Hammer et al., "An Approach to Resolving Semantic Heterogeneity in a Federation of Autonomous Heterogeneous Database Systems," Computer Science Department, University of Southern California (undated)
	АММММ	Hammer et al., "Object Discovery and Unification in Federated Database Systems," University of Southern California (undated)
***************************************	ANNNN	HP and IntelliLink connect HP 95LX with HP NewWave; IntelliLink for the HP NewWave; production announcement," HP Professional (08/1991)
	A0000	"HP announces expanded memory version of palmtop PC, introduces 1-Megabyte HP 95LX and 1-Megabyte memory cards," Business Wire, Inc. (03/04/92)
	APPPP	Huston et al., "Disconnected Operation of AFS," CITI Technical Report 93-3, Center for Information Technology Integration, University of Michigan (06/18/93)
	AQQQQ	IBM Dictionary of Computing, Tenth Edition, 1993, pp. 268, 269, 31_
	ARRRR	
	ASSSS	IEEE Standard Dictionary of Electrical and Electronics Terms, Fourth Edition, 1988, p. 372, 368, 509, 563
V	ATTTT	Imielinski, "Mobile Computing – DataMan Project Perspective," Rutgers University (undated)
/MNVB/	AUUUU	"IntelliLink 2.2: the software connection from desktop to palmtop; Software Review; IntelliLink 2.2; Evaluation," PC Magazine (04/28/92)

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***	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
/MNVB/ AVVV		"IntelliLink transfers palmtop, PC data; communications software from IntelliLink Inc; brief article; Product Announcement," PC Week (11/18/91)
	AWWWW	Jacobs et al., "A Generalized Query-by-Example Data Manipulation Language Based on Database Logic," IEEE Transactions on Software Engineering, Vol. SE-9, No. 1 (01/1983)
	AXXXX	Jenkins, "Users struggle with E-mail Woes," Computerworld, p. 97 (10/24/94)
	AYYYY	Johnson et al., "Hierarchical Matrix Timestamps for Scalable Update Propogation," submitted to the 10 th Int. Workshop on Distributed Algorithms (06/25/96)
	AZZZZ	Joshi et al., "A Survey of Mobile Computing Technologies and Applications," (10/29/95)
	AAAAA	Kistler et al., "Disconnected Operation in the Coda File System," School of Computer Science, Carnegie Melon University, Pennsylvania (undated)
	ABBBBB	Krill, "NETWORKING: Tech Update," InfoWorld, vol. 18 (02/12/96)
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	ADDDDD	Larson et al., "A Theory of Attribute Equivalence in Databases with Application to Schema Integration," IEEE Transactions on Software Engineering, Vol. 15, No. 4, April 1989
	AEEEEE	Mannino et al., "Matching Techniques in Global Schema Design," IEEE 1984
	AFFFFF	Marshall, "Product Reviews: Windows contact managers," InfoWorld, Vol. 18 (03/25/96)
	AGGGGC	McGoveran, "Distributed not yet delivered," Computerworld, p. 112 (06/06/94)
	АНННН	Meckler Corporation, "Palmtop-to-desktop linkage software," Database Searcher (06/1992)
	AIIIII	Microsoft Press Computer Dictionary, Second Edition, 1994, p. 164
	AJJJJJ	Microsoft Press Computer Dictionary, Second Edition, 1994, pp. 105, 217, 227, 228
	AKKKKK	Milliken, "Resource Coordination Objects: A State Distribution Mechanism," (DRAFT) (12/10/93)
	ALLLLL	Nash, "Replication falls short," Computer world, p. 65 (11/21/94)
	AMMMMI	Noble et al., "A Research Status Report for Adaptation for Mobile Data Access," School of Computer Science, Carnegie Melon University (undated)
	ANNNN	"PackRat PIM gets older and wiser with Release 4.0; PIM update sports enhanced interface, greater ease of use," InfoWorld (12/23/91)
	A00000	"Palmtop PCs: power by the ounce; Hardware Review; overview of six evaluations of palm-top computers; includes related articles on Editor's Choices, suitability-to-task ratings, impressions by individual users; evaluation," PC Magazine (07/1991)
	APPPPP	"Pen-based PCs ready for prime time; includes related article on comparison of operating systems, list of vendors of pen-based products," PC-Computing (11/1991)
	AQQQQQ	
/MNVB/	ARRRR	Petersen et al., "Bayou: Replicated Database Services for World-wide Applications," Computer Science Laboratory, Xerox Palo Alto Research Center, California (undated)

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Examiner Initial	Desig. ID	Document		
/MNVB/	ASSSSS	"Product comparison: Atari Portfolio Casio Evecutive BOSS HP 051 V Ponet PC Prior series 3		
0000000	ATTTTT	"PRODUCT COMPARISON: Personal information managers," InfoWorld, vol. 17 (08/07/95)		
888	AUUUUL	Radosevich, "Replication mania," Computerworld Client/Server Journal, p. 53 (10/01/95)		
000000000000000000000000000000000000000	AVVVV	Ratner et al., "The Ward Model: A Replication Architecture for Mobile Environments," Department of Computer Science, University of California (undated)		
000000000	/wwww	Reiher et al., "Peer-to-Peer Reconciliation Based Replication for Mobile Computers," UCLA (undated)		
000000000000000000000000000000000000000	AXXXXX	Reiher et al., "Resolving File Conflicts in the Ficus File System," Department of Computer Science, University of California (undated)		
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000000000000000000000000000000000000000	AZZZZZ	"Riding the NewWave from PC to Palmtop: IntelliLink lets NewWave users transfer files," InfoWorld (06/03/91)		
000000000000000000000000000000000000000	AAAAAA.	Saltor et al., "Suitability of data models as canonical models for federated databases," Universitat Politecnica de Catalunya, Spain (undated)		
000	ABBBBBI	Satyanarayanan, "Coda: A Highly Available File System for a Distributed Workstation Environment," School of Computer Science, Carnegie Mellon University (undated)		
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0000000	ADDDDD:	Satyanarayanan, "Mobile Information Access," IEEE Personal Communications, Vol. 3, No. 1 (Feb. 1996)		
000000	AEEEEEE	Sherman, "Information Technology: 'What Software Should I Use to Organize My Life'," (undated)		
000000	AFFFFF	Sheth et al., "A Tool for Integrating Conceptual Schemas and User Views," IEEE 1988		
0000000	AGGGGG	Schilit et al., "The ParcTab Mobile Computing System," Xerox Palo Alto Research Center, California (undated)		
200000000000000000000000000000000000000	АННННП	SPI Database Software Technologies Record Displays: Record 2, Serial No. TDB0291.0094 and Record 4, Serial No. iets0901.0073 (undated)		
0000000	AIIIIII	Staten, "PowerMerge 2.0 ships; syncs moved filed," MacWEEK, Vol. 8, p. 38(1) (01/03/94)		
0000000	AJJJJJJ	Tait, Doctoral Thesis entitled "A File System for Mobile Computing," (01/01/1993)		
000000	AKKKKK	Tolly, "Enhanced Notes 4.0 gets thumbs-up," Computerworld, p. 54 (12/18/95)		
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800	МММММ	Wiederhold, Gio, Database Design, Second Edition, McGraw-Hill Book Company, 1983, p. 2		
V	ANNNN	Wiederhold, Gio and Qian Xiaolei, "Consistency Control of Replicated Data In Federal Database, <i>IEEE</i> , pp. 130-132. 1990.		
/MNVB/	A00000	Zaino, "Tapping the Top Values in PDAs – Personal digital assistants that sell for as little as \$300 can put a PC in the palm of your hand. Get the scoop on 8 contenders," HomePC, pp. 97 (10/01/96)		

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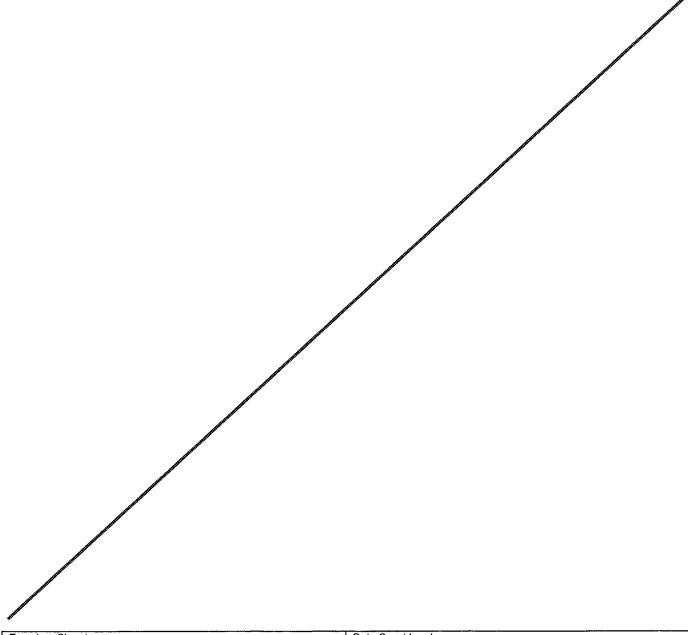




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Examiner	Desig.			
Initial	ID	Document		
/MNVB/	APPPPPP	Zisman et al., "Towards Inoperability in Heterogeneous Database Systems," Imperial College Research Report No. DOC 95/11 (12/01/95)		



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